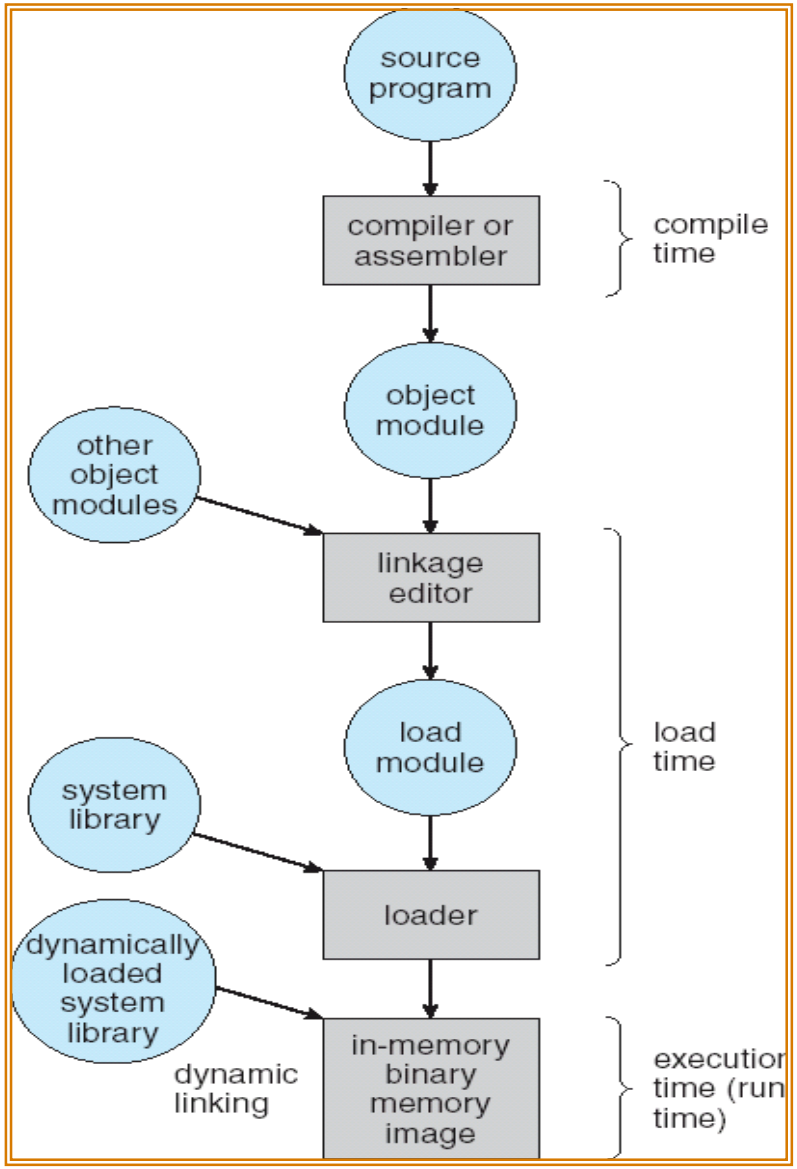
Chapter 8: Memory management

- Memory hierarchy: Processor -> Registers, Cache (L1, L2, L3 ..), Main memory, hard disk
  - The closer to processor, the faster and expensive
- Program must be brought into memory and placed within a process for it to be run
- User programs go through several steps before being run

Multistep Processing of a User Program



Binding of Instructions and Data to Memory

Address binding of instructions and data to memory addresses can happen at three different stages

- **Compile time**: If memory location known a priori, *absolute code* can be generated; must recompile code if starting location changes
- **Load time**: Must generate *relocatable code* if memory location is not known at compile time
- **Execution time**: Binding delayed until run time if the process can be moved during its execution from one memory segment to another. Need hardware support for address maps (e.g., *base* and *limit registers*).

Loading programs

- Dynamic loading
  - Routine is not loaded until it is called
  - Better memory-space utilization; unused routine is never loaded
  - Useful when large amounts of code are needed to handle infrequently occurring cases
  - No special support from the operating system is required implemented through program design
- Dynamic Linking
  - Linking postponed until execution time
  - Small piece of code, *stub*, used to locate the appropriate memory-resident library routine
  - Stub replaces itself with the address of the routine, and executes the routine
  - Operating system needed to check if routine is in processes' memory address
  - Dynamic linking is particularly useful for libraries

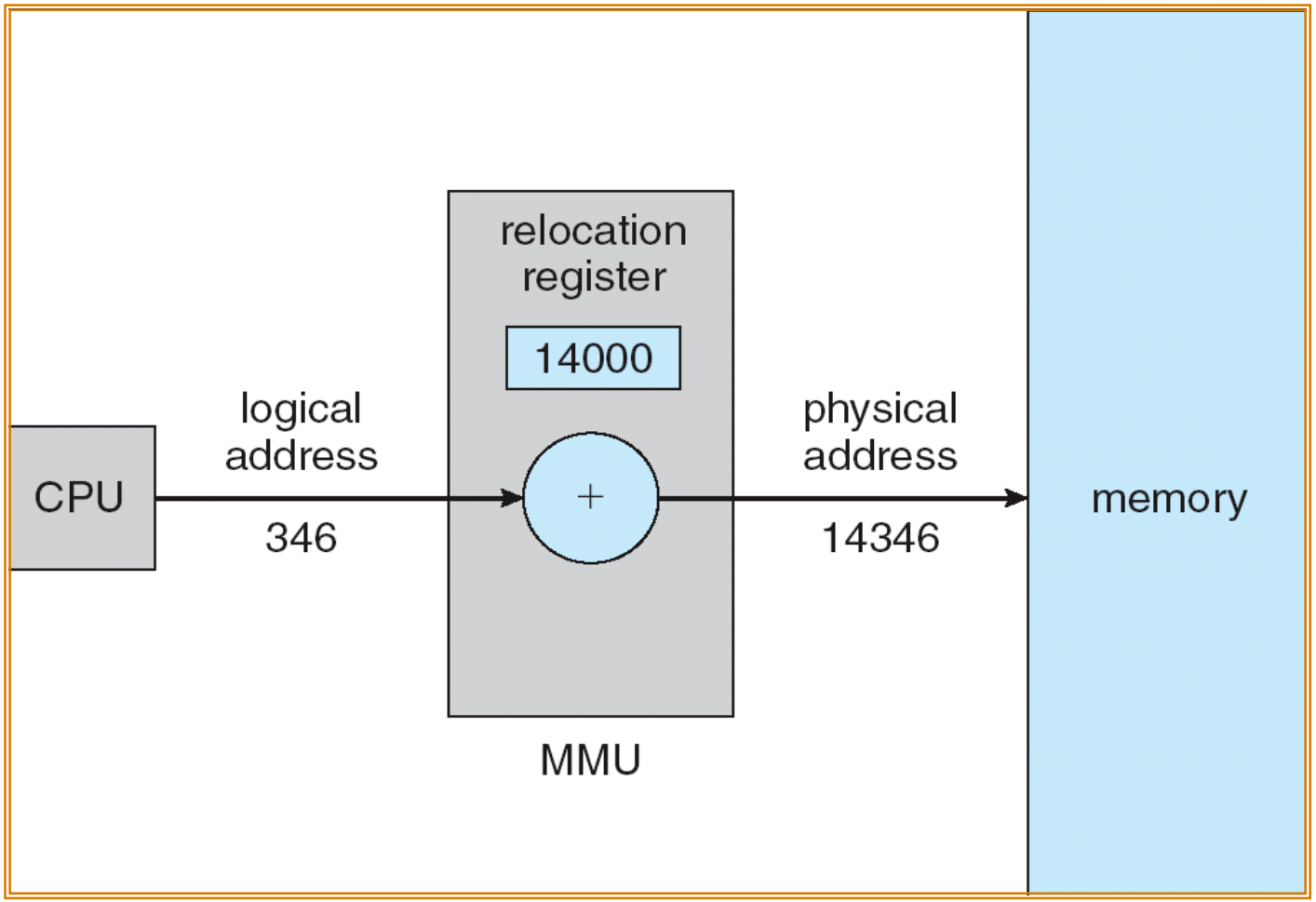
Logical vs. Physical Address Space

- The concept of a logical *address space* that is bound to a separate *physical address space* is central to proper memory management
  - **Logical address** – generated by the CPU; also referred to as *virtual address*
  - **Physical address** – address seen by the memory unit
- Logical and physical addresses are the same in compile-time and load-time address-binding schemes; logical (virtual) and physical addresses differ in execution-time address-binding scheme

Memory-Management Unit (MMU)

- Hardware device that maps virtual to physical address
- In MMU scheme, the value in the relocation register is added to every address generated by a user process at the time it is sent to memory
- The user program deals with *logical* addresses; it never sees the *real* physical addresses

Dynamic relocation using a relocation register

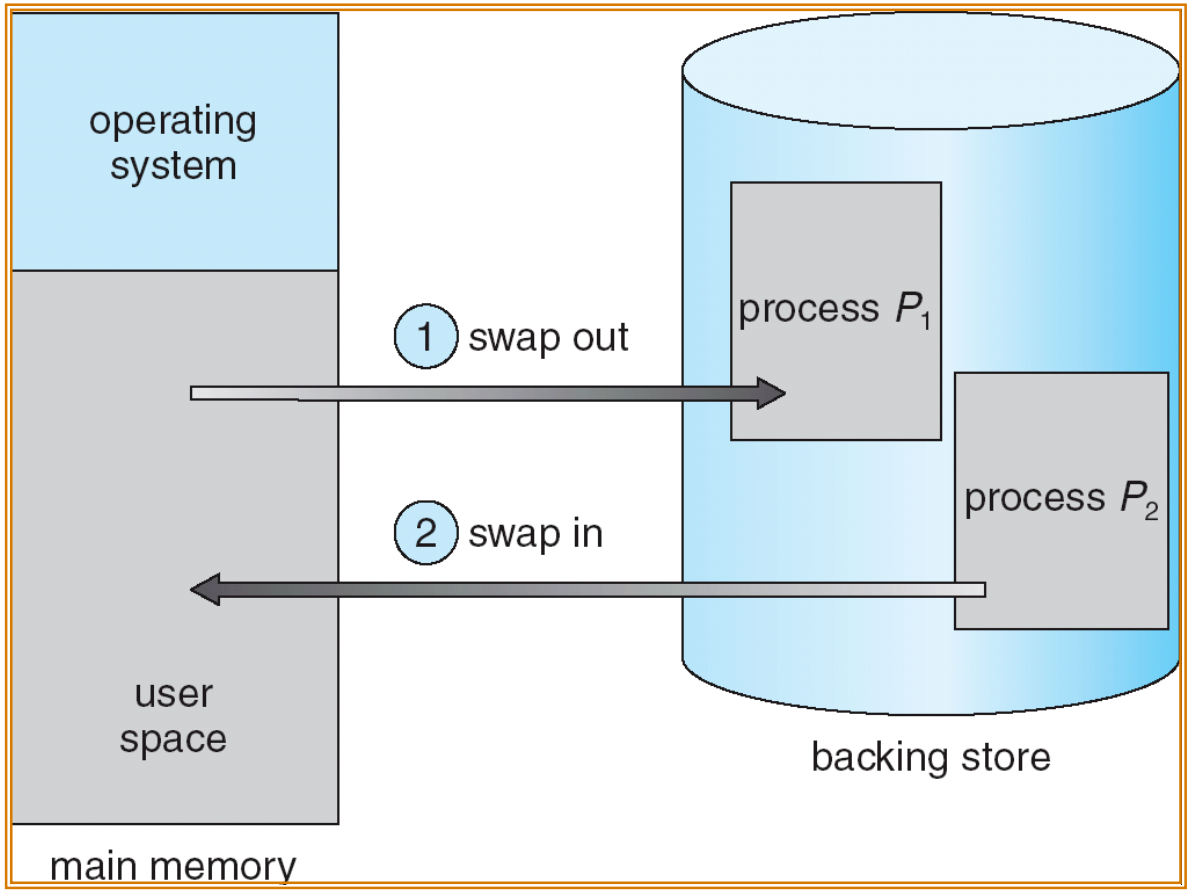


MMU is a hardware component
OS manages the relocation register

Swapping

- A process can be swapped temporarily out of memory to a backing store, and then brought back into memory for continued execution
- Backing store – fast disk large enough to accommodate copies of all memory images for all users; must provide direct access to these memory images
- Roll out, roll in – swapping variant used for priority-based scheduling algorithms; lower-priority process is swapped out so higher-priority process can be loaded and executed
- Major part of swap time is transfer time; total transfer time is directly proportional to the amount of memory swapped
- Modified versions of swapping are found on many systems (i.e., UNIX, Linux, and Windows)

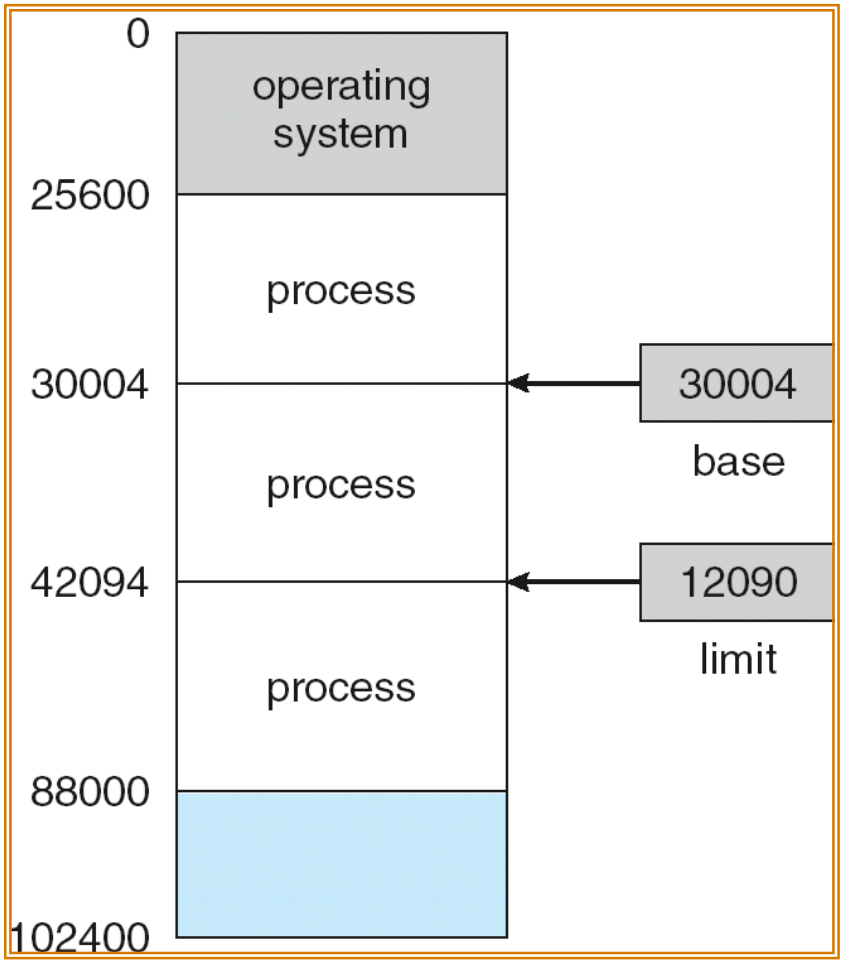
Schematic View of Swapping



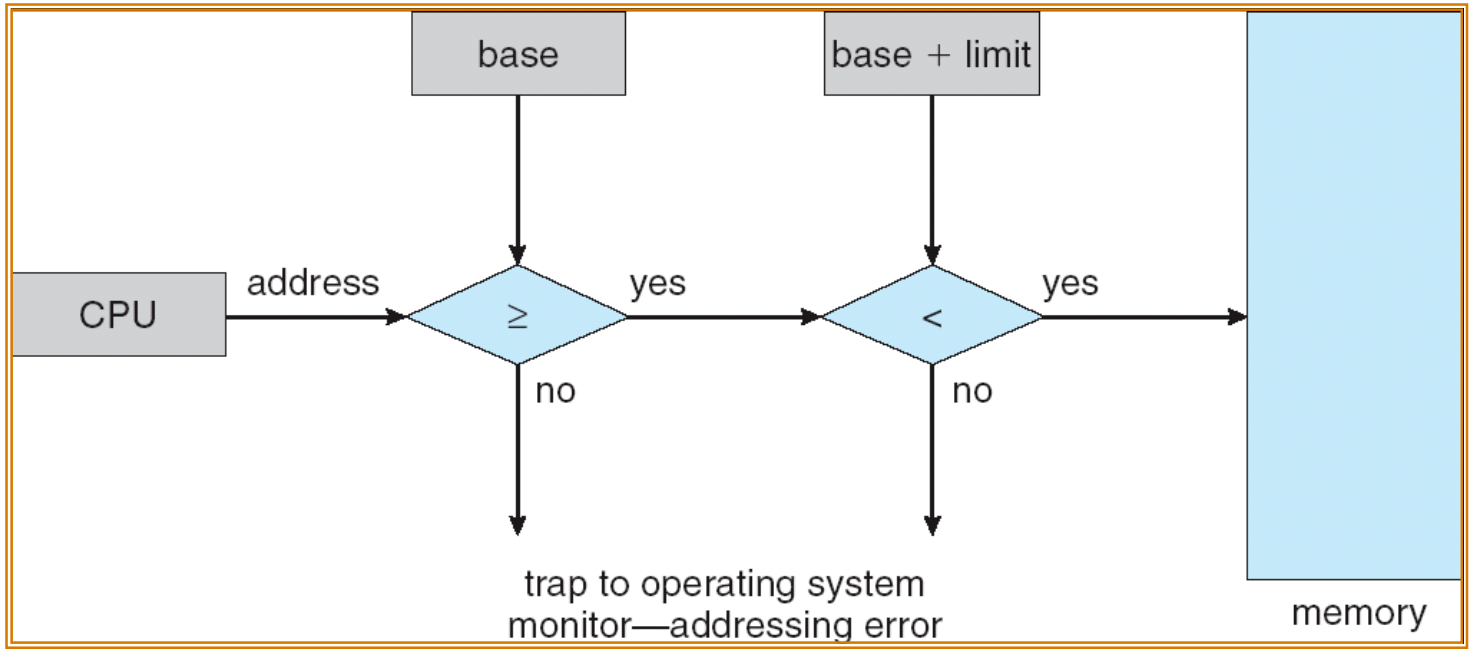
Contiguous Allocation

- Main memory usually into two partitions:
  - Resident operating system, usually held in low memory with interrupt vector
  - User processes then held in high memory
- Single-partition allocation
  - Relocation-register scheme used to protect user processes from each other, and from changing operating-system code and data
  - Relocation register contains value of smallest physical address; limit register contains range of logical addresses – each logical address must be less than the limit register

A base and a limit register define a logical address space

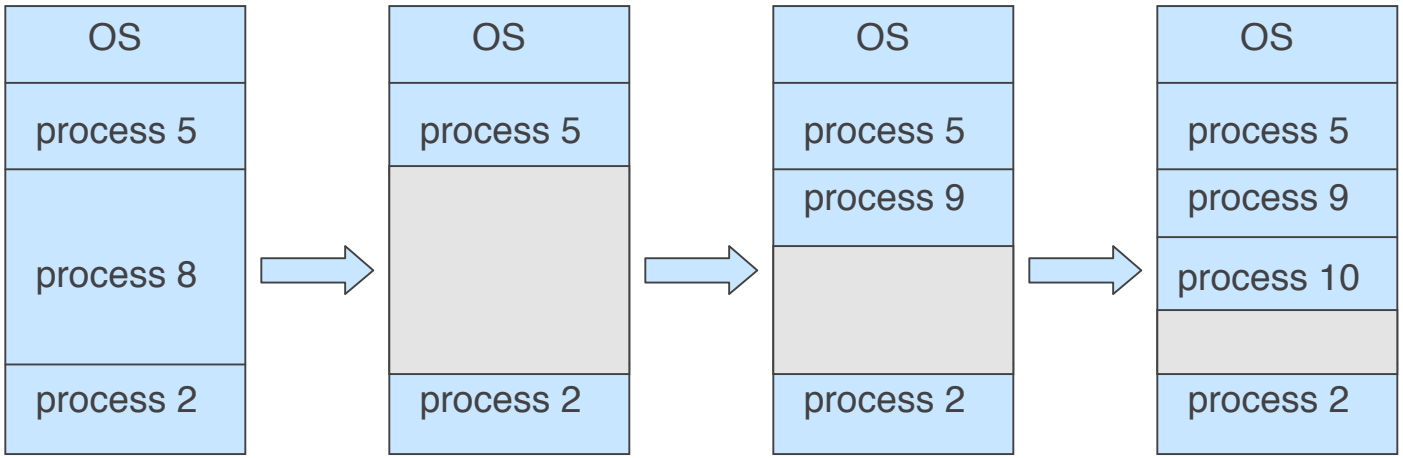


HW address protection with base and limit registers



Contiguous Allocation (Cont.)

- Multiple-partition allocation
  - *Hole* – block of available memory; holes of various size are scattered throughout memory
  - When a process arrives, it is allocated memory from a hole large enough to accommodate it
  - Operating system maintains information about:
    a) allocated partitions b) free partitions (hole)



Dynamic Storage-Allocation Problem

How to satisfy a request of size *n* from a list of free holes

- **First-fit**: Allocate the *first* hole that is big enough
- **Best-fit**: Allocate the *smallest* hole that is big enough; must search entire list, unless ordered by size. Produces the smallest leftover hole.
- **Worst-fit**: Allocate the *largest* hole; must also search entire list. Produces the largest leftover hole.

First-fit and best-fit better than worst-fit in terms of speed and storage utilization

Fragmentation

- **External Fragmentation** – total memory space exists to satisfy a request, but it is not contiguous
- **Internal Fragmentation** – allocated memory may be slightly larger than requested memory; this size difference is memory internal to a partition, but not being used
- Reduce external fragmentation by **compaction**
  - Shuffle memory contents to place all free memory together in one large block
  - Compaction is possible *only* if relocation is dynamic, and is done at execution time
  - I/O problem - I/O may be performed by a DMA controller
    - Latch job in memory while it is involved in I/O
    - Do I/O only into OS buffers